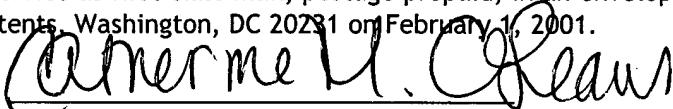


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Holtzman et al.  
SERIAL NO.: 09/696,663 GROUP NO.: Not Yet Assigned  
FILING DATE: October 25, 2000 EXAMINER: Not Yet Assigned  
TITLE: METHOD AND SYSTEM FOR RETAIL SALE

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on February 1, 2001.



Catherine M. O'Leary

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

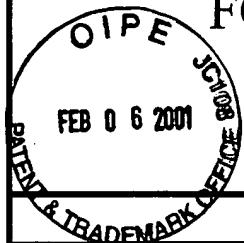
Submitted herewith is/are:



Transmittal Form (1 pg.); Fee Transmittal (1 pg.); Declaration and Power of Attorney (4 pgs.); Small Entity Statement (1 pg.); copy of Notice to File Missing Parts of Application dated January 16, 2001 (1 pg.); Certificate of First Class Mailing Under 37 CFR 1.8 (1 pg.); a check in the amount of \$420.00; and a mailroom postcard.

Select or \$

# TRANSMITTAL FORM



Application Serial Number	09/696,663
Filing Date	October 25, 2000
First Named Inventor	Holtzman et al.
Group Art Unit	2166
Examiner Name	Not Yet Assigned
Attorney Docket No.	PRT-004
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form  <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)  <input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences  <input type="checkbox"/> Appeal Brief (in triplicate)
<input type="checkbox"/> Amendment/Response  <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal  <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)	<input type="checkbox"/> Status Inquiry  <input checked="" type="checkbox"/> Return Receipt Postcard  <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8
<input type="checkbox"/> Petition for Extension of Time	<input checked="" type="checkbox"/> Terminal Disclaimer  <input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8  <input type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations	<input checked="" type="checkbox"/> Small Entity Statement  <input type="checkbox"/> CD(s) for large table or computer program	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Amendment After Allowance	
<input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	

## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibeault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

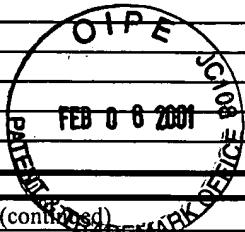
## SIGNATURE BLOCK

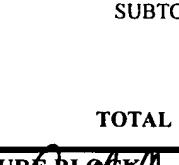
Respectfully submitted,

  
\_\_\_\_\_  
Ira V. Heffan  
Attorney for Applicants  
Testa, Hurwitz & Thibeault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110

## **FEE TRANSMITTAL FY 2001**

<b>FEE TRANSMITTAL</b> <b>FY 2001</b>	<i>Complete if Known</i> <table border="1"> <tr> <td>Application Serial Number</td> <td>09/696,663</td> </tr> <tr> <td>Filing Date</td> <td>October 25, 2000</td> </tr> <tr> <td>First Named Inventor</td> <td>Holtzman et al.</td> </tr> <tr> <td>Group Art Unit</td> <td>2166</td> </tr> <tr> <td>Examiner Name</td> <td>Not Yet Assigned</td> </tr> <tr> <td>Attorney Docket No.</td> <td>PRT-004</td> </tr> </table> <div style="text-align: right; margin-top: -20px;">   <span style="border: 1px solid black; border-radius: 50%; padding: 2px;">FEB 08 2001</span>  <span style="border: 1px solid black; border-radius: 50%; padding: 2px;">PATENT OFFICE 2001</span> </div>	Application Serial Number	09/696,663	Filing Date	October 25, 2000	First Named Inventor	Holtzman et al.	Group Art Unit	2166	Examiner Name	Not Yet Assigned	Attorney Docket No.	PRT-004
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METHOD OF PAYMENT					FEE CALCULATION (continued)																																																																																			
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2. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531. <input type="checkbox"/> Required Fees (copy of this sheet enclosed). <input checked="" type="checkbox"/> Additional fee required under 37 CFR 1.16 and 1.17. <input checked="" type="checkbox"/> Overpayment Credit. 3. <input checked="" type="checkbox"/> Applicant claims small entity status.																																																																																								
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Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower-125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100					 Respectfully submitted, Ira V. Heffan Attorney for the Applicants Testa, Hurwitz & Thibeault, LLP High Street Tower-125 High Street Boston, MA 02110																																																																																			

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**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/696,663	10/25/2000	Henry N. Holtzman	PRT-004

021323  
TESTA, HURWITZ & THIBEAULT, LLP  
HIGH STREET TOWER  
125 HIGH STREET  
BOSTON, MA 02110



**CONFIRMATION NO. 4174**  
**FORMALITIES LETTER**



\*OC000000005681648\*

Date Mailed: 01/16/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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